



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

4/28/02
10/11/02

In re application of: Jack O. Chu et al.

Docket No.: YOR919980460US2

Serial No.: 09/989,770

Group Art Unit: 2815

Filed: November 20, 2001

Examiner:

For: HIGH SPEED COMPOSITE P-CHANNEL Si/SiGe
HETEROSTRUCTURE FOR FIELD EFFECT DEVICES

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Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement Transmittal Letter
Form PTO-1449 pages 1-4 of 4 with 3 References
Form PTO-1449 pages 1-3 of 3 previously submitted on May 21, 2001
Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
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on September 30, 2002

Maureen Gander
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Maureen Gander
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9
IDS
J. McMillan
4/16/03

In re Patent Application of : Attorney Docket: YOR919980460US2
Jack O. Chu et al. : Group Art Unit: 2815
Serial No.: 09/989,770 : Examiner:
Filed: November 20, 2001 : Date: September 30, 2002
For: HIGH SPEED COMPOSITE P-CHANNEL
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EFFECT DEVICES

INFORMATION DISCLOSURE STATEMENT
under 37 C.F.R. 1.97(b) (3)

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Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. Section 1.56 and consistent with 37 C.F.R. Sections 1.97 and 1.98, submitted herewith is Form PTO-1449 listing references that may be material to the above-captioned application.

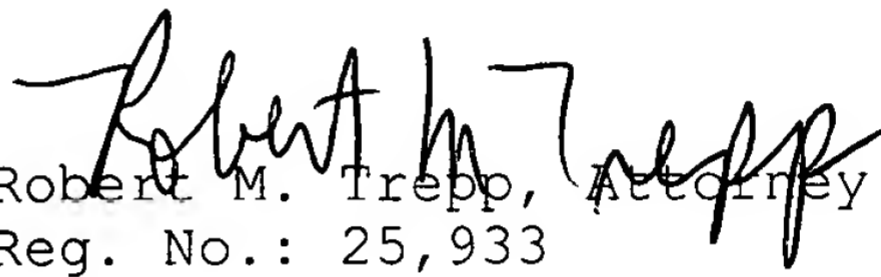
Enclosed is Form PTO-1449, pages 1-3, containing the references submitted to the Patent and Trademark Office in prior parent Application Serial No. 09/267,323(YOR919980460US1), filed March 12, 1999, reliance upon which for an earlier effective filing date is claimed under 35 U.S.C. Section 120.

Also enclosed is Form PTO-1449 page 4 listing additional references which are enclosed, with the exception of Japanese Patent Number JP 361294877, which could not be accessed on our patent website and is being inspected by the original examiner to determine the accuracy of the number. Upon receipt of the Japanese Patent, it will be forwarded to be included as

part of the Information Disclosure Statement and the following references.

This Information Disclosure Statement is being submitted prior to the issuance of any Official Action, thus no fee or certification under 37 C.F.R. Section 1.97 is required.

Respectfully submitted,


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